Updated August 2021

Stephan Shipe, Ph.D., CFA, CFP®

1834 Wake Forest Road
Farrell Hall, Building 60
Winston-Salem, NC 27109

Office: Farrell 321
Email: shipes@wfu.edu
Phone: (772) 828-1406

Academic Appointments

Wake Forest University — Winston-Salem, NC
Assistant Teaching Professor of Finance — July 2019 - Current

University of New Hampshire — Durham, NH
Assistant Professor of Finance — August 2016 – July 2019

Education

Florida State University — Tallahassee, FL
Ph.D. in Finance — May 2016
Support Area: Econometrics

University of North Florida — Jacksonville, FL
B.B.A. in Finance — May 2012
Summa Cum Laude

Publications

[1] "Ex-post Bargaining, Corporate Cash Holdings, and Executive Compensation", *Journal of Financial and Quantitative Analysis*, Forthcoming, (with Cheng, Y., Harford, J., and Hutton, I.)

[2] "Compensation of Investment Advisors", *Journal of Investing* (2018), 27, 80-86. (with Lahtinen, K.D.)

[3] "Readability of Financial Advisor Disclosures", *Journal of Empirical Finance* (2017), 44, 36-42. (with Lahtinen, K.D.)

Working papers

[4] "The impact of product markets and gender on investment behavior" with Daniel Bradley and Kyre Lahtinen

Presentations: Financial Management Association Annual Meeting 2019 (semifinalist for Best Paper Award and Top 10 Session), University of New Hampshire, Southern Finance Association 2019, Wright State University, University of South Alabama*, Texas State University*.*

[5] “Active Cash Management and Firm Value”, with Yingmei Cheng

Presentations: Florida Finance Conference, University of New Hampshire, James Madison University, Utah State University, Clemson University, Southern Finance Association 2017.

[6] “Minding the ($500,000) gap: Accounting for the gender-driven gap in executive severance agreements” with Kelsey Medeiros, Jennifer Griffith, Matthew Crayne, and Tristan McIntosh

*Presentation by coauthor

Works in Progress

“Fee Tables and Investor Comprehension of Fees” with Kyre Lahtinen, Nathan Mauck, and Leigh Salzsieder
“Fiduciary Duty: Evidence from state registered investment advisors”

Teaching Experience

Wake Forest University

- **Applied Quantitative Analysis for Finance (FIN 203)**
  - Average evaluation: 4.58 out of 5.0
  - Average number of students: 26
  - Number of semesters taught: 5 (Fall 2019, Spring 2020, Fall 2020, Spring 2021, Fall 2021)
- **Financial and Risk Analytics (BAN 6098)**
  - Number of semesters taught: 2 (Spring 2020, Spring 2021)
- **Financial Data Analytics (FIN 239)**
  - Number of semesters taught: 3 (Fall 2020, Spring 2021, Fall 2021)
- **Analytics Software Technology (BAN 7002)**
  - Number of semesters taught: 1 (Fall 2020)
- **Principals of Finance (FIN 231)**
  - Number of semesters taught: 1 (Summer 2021)
- **Personal Finance for Everyone (BUS 281)**
  - Number of semesters taught 1 (Summer 2020)

University of New Hampshire

- **Big Data in Finance (ACFI720)**
  - Average evaluation: 4.8 out of 5.0
  - Average number of students: 22
  - Number of semesters taught: 6 (Spring 2017, Fall 2017, Spring 2018, Summer 2018 online, Spring 2019, Summer 2019 online)
- **Introduction to Financial Management (ADM570)**
  - Average evaluation: 4.4 out of 5.0
  - Average number of students: 67
  - Number of semesters taught: 5 (Fall 2016, Spring 2017, Fall 2017, Spring 2018, Spring 2019)

- **Big Data and Fintech (ADM898, MBA Course)**
    - Average evaluation: 4.5 out of 5.0
    - Average number of students: 20
    - Number of semesters taught: 1 (Summer 2018 online)

Florida State University

- **Financial Management of the Firm (FIN3403*)***
    - Average number of students: 79
    - Average evaluation: 4.8 out of 5.0
    - Number of sections taught: 6 (Summer 2013, Summer 2014, Fall 2014, Spring 2015, Summer 2015, Fall 2015 (2 sections))
- **Hospitality Financial Management (HFT3424*)***
    - Average number of students: 90
    - Average evaluation: 3.9 out of 5.0
    - Number of semesters taught: 1 (Spring 2016)
- **Fundamentals of Personal Finance (FIN5108)**, Spring 2014 *(Teaching Assistant)*
- **Bloomberg training and lectures to faculty, MSF students, and undergraduate students**, 2012, 2013, 2014, 2015
- **Doctoral Student Bootcamp** (SAS, Stata, Bloomberg, and database training for incoming finance and accounting PhD students), Summer 2015

Service

- Practice Exam Writer (Level 2), *Boston CFA Society*, 2019-2021
- Facilitator, *Peer Learning Community for Online Learning WFU*, 2020
- New Program Advocate for Mater's in Financial Technology Program, *Wake Forest University School of Professional Studies*, 2021
- Independent Study (x5), *Wake Forest University*, 2021
- Practice Exam Writer (Level 3), *Chartered Financial Analysts Association*, 2020
- Member of the working group for investment advisor disclosure regulation, *Secretary of the Commonwealth of Massachusetts*, 2018-19
- Chair of the Finance and Administration Committee, *UNH Faculty Senate*, 2019
- Faculty Senator, *UNH Faculty Senate*, 2017-19 (2-year term)
- Honors Program Thesis Advisor (x2), *UNH Peter T. Paul College of Business*, 2018
- Open Educational Resources (OER) Ambassador, *UNH Academic Technology,* 2018-19
- Bloomberg Trading Challenge Faculty Advisor, *UNH Peter T. Paul College of Business,* 2019
- Secretary, *UNH Accounting and Finance Department,* 2017

Conference Participation and Attendance

- Financial Management Association Annual Meeting 2019, New Orleans, LA: *Presenter and Discussant*
- Southern Finance Association 2019, Orlando, FL, *Program Committee, Discussant, and Presenter*

- Financial Management Association Annual Meeting 2018, San Diego, CA
- Southern Finance Association 2017, Key West, FL: *Presenter and Discussant*
- Financial Management Association Annual Meeting 2017, Boston, MA
- Financial Management Association Annual Meeting 2015, Orlando, FL
- Florida Finance Conference 2015, Tampa, FL: *Presenter*
- American Finance Association Conference 2015, Boston, MA: *Doctoral Student Travel Grant*
- Financial Management Association Annual Meeting 2014, Nashville TN: *Session Chair, Presenter, and Discussant*
- Florida Finance Conference 2014, Tampa FL: *Discussant*
- Financial Management Association Annual Meeting 2013, Chicago IL: *Discussant*

Media Mentions and Invited Presentations

"Ask the Experts: Unsecured Credit Cards", **WalletHub,** 2020
Panelist (Fee Disclosure), *Annual Investment Advisor Training*, **Commonwealth of Massachusetts**, 2019
"What's in your Wallet", **Seacoast News,** 2018

Honors, Awards, and Certifications

- CFA Charterholder, *CFA Institute*
- CFP® Professional, *CFP Board*
- RA Funding Award, *UNH Peter T. Paul College of Business, 2018, 2019*
- Fundamentals of Online Instruction Certificate of Completion, *UNH Academic Technology*, 2018
- Writing Intensive Instruction Retreat, *UNH Writing Center, 2018*
- Registered Investment Advisor Representative*, State of New Hampshire 2018-2019*
- Doctoral Student Travel Grant, *American Finance Association Annual Meeting, 2015*
- Department Nominee for College of Business Doctoral Student Teaching Award*, Florida State University, 2015*
- Series 65 License (Uniform Investment Adviser Law Examination), *NASAA, 2015*
- Bloomberg certified in equities, fixed income, commodities, and currencies
- Finance Department Service Award, *Florida State University, 2014*
- First Year Doctoral Student Grant, *Florida State University, 2012*
- Outstanding Senior in Finance, *University of North Florida, 2012*
- PNC Bank Scholarship, *University of North Florida, 2011*

Professional Affiliations

Financial Management Association
American Finance Association
CFA Institute
Southern Finance Association